



The European Commission answered about some questions related to impact of the current Covid -19 situation on the ongoing Eu projects.

For further clarification please visit the EC Website <u>click here</u>

Will the deadlines for Horizon 2020 calls be extended related to the COVID-19 outbreak?

The recent escalation of restrictions related to the COVID-19 outbreak may hamper applicants' ability to prepare and finalise high-quality proposals. For this reason, for Horizon 2020 calls with original deadlines between now and 15 April, extensions will be applied. The precise new deadlines for each call and topic will be published on the call and topic pages on the Funding and Tenders Portal.

For the IMI2-2020-21-01 call, no extension of deadline/cutoff date will apply. The cutoff date for the EIC Accelerator part of call EIC-SMEInst-2018-2020 is extended by 48 hours, to Friday 20 March 2020 at 17:00:00 (CET).

In all cases, potential applicants are advised to prepare themselves as necessary, especially by establishing effective communication links for remote collaborative work, also anticipating possible further restrictions in the coming weeks.

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Related to the COVID-19 outbreak, is it possible in Horizon 2020 grants to postpone the starting date of the action?

Postponement of the starting date of the action is possible for grant agreements about to be signed (in Grant Agreement Preparation stage) and, via an amendment, for grant agreements already signed but with a starting date in the future (no work has started yet). A starting date fixed later in time will delay the pre-financing payment. If it is not possible to carry out any work under the action, beneficiaries may also request to suspend the implementation of the action (Article **49(1)** H2020 MGA). However, costs incurred during action suspension cannot be charged. Published on: 08-04-2020 11:57 PM - FAQ ID: 13114





Related to the COVID-19 outbreak, how will the funding bodies handle possible delays in submitting deliverables and reports in Horizon 2020 grants?

Given the COVID-19 situation, full flexibility will be given on scheduled due dates for deliverables, milestones and reports whose performance was impacted by the confinement measures. In particular, for reports linked to payments, the coordinator will still be able to submit the report at the due date set in the grant agreement, but flexibility will be allowed as regards the 60 days period for the submission of the report (see also FAQ on 'Interim and final payments' and 'possibility to extend the project duration'). However, any late report linked to payment will subsequently lead to later payment.

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Related to the COVID-19 outbreak, what happens in Horizon 2020 grants if beneficiaries cannot submit all/complete elements for their reports related to interim and final payments?

For interim payments:

Given the COVID-19 situation, flexibility will be given regarding the completeness of reports submitted in relation to interim payments. Beneficiaries must still submit scientific and financial reports. However, given the COVID-19 situation, beneficiaries will be entitled to submit a shorter narrative part of their scientific report, e.g. focusing only on key explanation of work performed (e.g. key achievements; explanations on difficulties to perform tasks due to this situation, etc.) The remaining documents may be submitted at the next reporting period.

For final payments:

Given the COVID-19 situation, the funding bodies will make the final payment even if the beneficiaries are unable to submit the Certificate on Financial Statements ('CFS') with their final reporting obligation (see Article 20.4 H2020 MGA). However, the amount of the payment for those beneficiaries who do not submit the CFS will be capped at the threshold required for CFS of EUR 324.999. Beneficiaries will receive a complementary payment once they submit the CFS for the remaining amount covered by the CFS.If a final report is not yet complete due to other aspects (e.g. because of some tasks are not fully accomplished), coordinators may request an amendment for an extension of the action duration, up to 6 months, to complete the tasks (see FAQ on 'project duration'). If so, beneficiaries will have still to submit their CFS with their final report later on. Published on: 08-04-2020 11:57 PM - FAQ ID: 13116





Can the clause on "force majeure" in the Horizon 2020 grant agreement be invoked in relation to the COVID-19 outbreak?

Article 51 of the H2020 MGA sets out the conditions in which the force majeure clause can be used. 'Force majeure' relates to an extraordinary and unforeseeable event or situation that is beyond the beneficiaries control and that prevents them from fulfilling their obligations under the action. If such a situation occurs, beneficiaries must immediately inform the Commission/Agency/Funding Body, which will examine on a case-by-case basis the possible application of the rules on force majeure. Moreover, beneficiaries must immediately take all the necessary steps to limit any damage due to force majeure (e.g. try to cancel the flight ticket, claim the reimbursement from the cancellation insurance (if applicable)). Costs will be eligible, if they fulfil the general eligibility conditions set out in Article 6 H2020 MGA like any other costs incurred under the action. For example, if a meeting/event cannot take place due to force majeure, travel and accommodation costs may still be charged to the H2020 action if they fulfil the cost eligibility conditions, even if the beneficiary did not travel and did not take part in the meeting/event. If force majeure entails extra costs for the implementation of the action, these costs may be eligible if necessary for the implementation for the action (e.g. a cancelled conference is reorganised at a later stage). However, the maximum grant amount cannot be increased.

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Expert contract in the context of the COVID-19 outbreak – Are evaluations and monitoring reviews still taking place?

Call evaluations:

Due to the COVID-19 outbreak, until further notice, all evaluations are carried out remotely using video or web-conference facilities. All contracted experts will receive further instructions from the call coordinator or EU services. An amendment of their contract is not necessary.

Expenses - conditions for reimbursing experts who have already bought travel tickets

Force majeure (article 20) applies. Experts will be reimbursed non-refundable travel and hotel expenses regardless of whether or not they can participate remotely.

Actual hotel costs will be reimbursed up to the agreed ceiling. Travel insurance costs (if purchased specificly for this trip) will also be reimbursed. If the amounts of the non-refundable travel and hotel expenses are covered by the travel insurance, these costs will not be reimbursed.

Experts with non-refundable tickets and hotel bookings should not cancel these tickets/bookings until the day before travel, and should check with the EU services issuing the contract, REA.C4 for H2020, before cancelling them.

Fees:

Experts who participate remotely will be paid professional fees as if they had attended the meeting in person as specified in article 4.

Monitoring reviews:

Due to the COVID-19 outbreak and in line with Commission policy, these meetings will be delayed, or held via video or web conference.

Contract amendment might be issued with a new date and location. You will be contacted by the project officer in charge of the review or by the EU service that issued the contract. Expenses:

The usual rules and procedures apply in this case in relation to reimbursement of expert expenses. Published on: 30-03-2020 2:55 PM - FAQ ID: 13072





Is there any possibility to extend the project duration of Horizon 2020 grants due to the COVID-19 situation?

Yes, beneficiaries may request an amendment for an extension of up to 6 months of initial project duration due to the COVID-19 situation. Such requests will be handled favourably and in a speedy manner. Any request beyond the 6 month period mentioned before will be granted based on case-by-case assessment by the funding body. However, the maximum grant amount indicated in Article 5 H2020 MGA cannot be increased.

Additional clarifications for MSCA actions:

MSCA ITN, RISE and COFUND: Given that under MSCA actions, the duration of the action extension will highly depend on how long the COVID-19 crisis will last, amendments to extend the project duration should be requested as follows:

For projects with end date in the coming three months, this type of amendment should be requested as soon as possible

For project with end date after three months, once beneficiaries are able to assess the impact on the action due to the confinement measures

MSCA IF: For IF, the project duration is directly linked to the employment contract of the researcher and the number of person-months implemented (unit cost approach). Therefore, any extension of the duration (without suspension of the action implementation or change to part-time employment) would normally require an increase of the person-months and therefore of the unit costs due. Since the maximum grant amount cannot be increased, such requests for project extensions for MSCA-IF cannot be approved.

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What happens to costs in Horizon 2020 grants when there are difficulties in implementing the action due to the COVID-19 situation?

For actual cost-based H2020 actions & MSCA actions

Given this unprecedented pandemic situation, maximum flexibility will be given in relation to the action implementation.

Whenever possible beneficiaries are encouraged to telework or to use other forms of remote working. Teleworking costs will be accepted as eligible under the confinement period even if it is not the beneficiary's usual practice to telework.

Beneficiaries are also highly encouraged to shift their tasks whenever possible. For instance, tasks where physical presence is needed on the ground (e.g. experiments/lab work, etc.) may be postponed after confinement measures are over and meanwhile remote work could be done instead (desk work, drafting of reports, etc.) Costs will still be eligible for the work carried out under the action even if such shifting entails deviations from the initial timing set out in Annex 1.

Additional possibility for H2020 MSCA actions:

Beneficiaries are reminded that periods in which a researcher was absent for 30 consecutive days or less (for reasons other than normal annual leave) can STILL be charged to the action and the costs will be eligible.

Moreover, the budget is flexible on the use of the amounts received. Research, training and networking unit costs should be used primarily for the research, training and networking activities planned in Annex 1. Yet, beneficiaries may allocate some of these amounts to help maintaining the salary of the researchers that are prevented from implementing the action due to the COVID-19 situation.

Beneficiaries have the same flexibility on deciding how to spend the institutional unit costs in order to complete their research. The only condition is that the Research, Training and Networking costs must be spent in support of the implementation of the project, as outlined in the Annex 1 to the grant agreement.

Additional clarifications for ERC actions:

Telework arrangements are particularly recommended for Principal Investigators that are currently blocked from returning to the EU or Associated Countries. In such a case, specific arrangements need also to be anticipated and taken also for the team's work supervision, and ERCEA must be notified accordingly.

The time teleworked by these PIs during the time they are prevented from returning to the EU or the Associated Countries may count as time spent on the ERC project and in the EU or the Associated Countries for the purpose of the Principle Investigator's time commitments. However, time records for the PIs should be kept even if no personnel costs are charged for him/her to the project.

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Is there any possibility to rapidly re-direct work in on-going Horizon 2020 projects to help tackling the COVID-19 outbreak?

Amendments should in principle not imply changes to the project that would call into question the award decision. However, due to the current situation, if reorienting your project might contribute to tackling the coronavirus crisis, this could be granted (via an amendment), upon request by beneficiaries (assessed case-by-case by the funding body).

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In Horizon 2020 grants, will there be any flexibility given in relation to beneficiaries' usual travel costs practices due to the COVID-19 pandemic?

Travel costs will be eligible if they fulfil the general eligibility conditions set out in Article 6 of the Horizon 2020 Grant Agreement (i.e. notably if they are necessary for the action). However, given this unprecedented pandemic situation, maximum possible flexibility will be given in relation to the beneficiaries' usual travel costs practices.

Travel costs will be accepted even if the beneficiary has to depart temporarily from its usual travel costs practices to tackle exceptional individual situations. For example, due to the COVID-19 outbreak, a beneficiary might have to derogate from its normal purchase procedure in order to immediately book return flight tickets for its staff working abroad on the action.

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In Horizon 2020 grants, what happens to costs for equipment purchased exclusively for the action implementation when it cannot be used due to the COVID-19 pandemic and the related confinement measures?

For equipment purchased for exclusive use for the action, beneficiaries are entitled to declare the full corresponding depreciation costs even if the equipment is not being actually used by researchers due to the COVID-19 pandemic.

Costs of new equipment that was not operational before the implementation of the COVID-19 confinement measures can only be charged to the project from the day on which its depreciation starts. Only depreciation costs for the equipment recorded in the accounts of the beneficiary can be charged to the action.

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How can beneficiaries support the hours worked in the Horizon 2020 action if the employees cannot sign or have their timesheets countersigned due to the COVID-19 pandemic measures?

According to Article 18 of the Horizon 2020 Model Grant Agreement, for personnel costs beneficiaries must keep time records for the numbers of hours declared (or sign a declaration of exclusive work for the action). The time records must be in writing and approved by the persons working on the action and their supervisors, at least monthly. If due to COVID-19 confinement measures, beneficiaries encounter difficulties in obtaining the monthly signature of their timesheet or if electronic signature is not possible, the Commission will accept any of the two following options: The signature of the timesheets can be delayed until the confinement is lifted, or

An electronic version of the timesheet (scan or photo) can be sent by e-mail to the supervisor who approves it by replying by e-mail to the person. The beneficiaries must keep the original timesheet with the signature of the person plus the acceptance e-mail of the supervisor.

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Will there be flexibility for beneficiaries in H2020 lump sum pilot grants, if the work packages cannot be completed at the end of the reporting period due to COVID-19 outbreak?

According to the H2020 Lump sum Pilot MGA, only the work packages that have been fully completed may be declared by the beneficiaries in periodic reports. Partly completed work package can be only declared at the final reporting period and will entail partial lump sum payments.

Therefore, beneficiaries are encouraged whenever possible to request an amendment in view of readjusting the reporting periods schedule and/or requesting an extension of the action duration. If beneficiaries need to rearrange some tasks due to Covid-19 outbreak for work packages not yet declared as completed, they can request to transfer part of the work and associated lump sum share (in line with Article 4 of the Lump Sum pilot MGA) via an amendment either:

within the same work package (i.e. to increase the share of a beneficiary and decrease the share of another) or, between work packages (i.e. to increase the share allocated to a work package and decrease the share of another).

Given these exceptional circumstances, the Commission will give maximum favourable and speedy treatment as regards beneficiaries' requests for amendments.

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What supporting documentation must beneficiaries of Horizon 2020 grants keep to prove the impact of 'force majeure' or delays in the submission of deliverables, reports or implementation of the action due to COVID-19 outbreak?

Beneficiaries must keep appropriate and sufficient evidence to prove the eligibility of all cost declared, proper implementation of the action and compliance with all the other obligations under the grant in accordance with Article 18 of the H2020 general model grant agreement. Given these exceptional circumstances, beneficiaries might have to depart from their usual records or supporting documents. Examples:

In case of force majeure (see FAQ on Force majeure) beneficiaries must keep all documents necessary to prove the eligibility of the costs incurred (like any other costs incurred under the action) as well as all documents proving that they have taken all necessary steps to limit any damage due to force majeure. For instance, emails aiming at cancelling the train/flight ticket, claiming the reimbursement from the cancellation insurance (if applicable), changing the flight/train ticket (if possible), etc.

For personnel costs, in case of difficulties to get the timesheets counter-signed monthly by the supervisor due to the Covid-19 outbreak, an electronic version of the timesheet (scan or photo) can be sent by e-mail to the supervisor who approves it by replying by e-mail to the person (see FAQ on timesheets). In such cases, the beneficiaries must keep the original timesheet with the signature of the person plus the acceptance e-mail of the supervisor.

As regards the action implementation, beneficiaries must keep all documents necessary to support the explanations included in their scientific report. For instance, emails exchanged during teleworking period; other paper or electronic documents used instead of the laboratory notebook usually in place at the beneficiary's premises, etc.

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What about Horizon 2020 grants involving public procurement when there are difficulties in implementing procurement contracts due to the COVID-19 outbreak?

For grants involving public procurement (notably through implementation of actions tasks by subcontractors in line with Art. 13 H2020 MGA, or purchase of goods, works and services in line with Art. 10 H2020 MGA), e.g. for PCP/PPI (Pre-Commercial Procurement (PCP) and Public Procurement of Innovation Solutions) actions or actions involving PCP/PPI, an extension to the ongoing procurement contracts might be necessary due to the COVID-19 situation (e.g. for procurements in the healthcare sector whereby the hospitals now are focusing on fighting the COVID-19 crisis, or because in some countries public procurements non-related to the COVID-19 crisis are put on hold). Modifications of the procurement contracts should be processed in line with the applicable legal framework for public procurement, ensuring transparency and equal treatment.

The extension of the ongoing procurement contracts might require an extension of the Grant Agreement as well (see also FAQ on "possibility to extend the project duration").

If not possible to carry out any work under the action, beneficiaries may also request to suspend the implementation of the action (Article 49(1) H2020 MGA). However, costs incurred during action suspension cannot be charged.

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Related to the COVID-19 outbreak, how will ERCEA handle delays in the project implementation, including as regards the impossibility to fulfil the Principle Investigator (PI) time-commitment obligations under the Horizon 2020 ERC grants?

Similar to the general H2020 grants, given the COVID-19 situation, maximum flexibility will be given on scheduled due dates for deliverables, milestones, scientific and financial reporting as well as to the implementation of the action whose performance was impacted by the confinement measures (see FAQs on delays in submitting reports or deliverables, on interim payments, on reorientation of the action). As regards the time-commitments obligation for PIs, the normal rules of the grant apply. We remind our beneficiaries that the PI's time-commitments obligations must be fulfilled for the overall action duration (NOT annually or per reporting period). Therefore, if due to COVID-19 the PI cannot fulfil the time-commitment obligations under the project in a reporting period, she or he may compensate for it in the next reporting periods once the confinement measures are lifted, and fulfil the time-commitments by the end of the action. If needed, beneficiaries may suspend the action implementation during the period where no work can be carried out (in line with Article 49 H2020 MGA). In addition, if the PI cannot fulfil the time-commitment obligations for the whole action, the beneficiary may request a suitable extension of the action that will be treated favourably and swiftly (see FAQ on extension of action duration). This extension will not count against another potential extension under H2020 ERC grants, which will follow the normal rules and procedures.

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Related to the COVID-19 outbreak, how will the Commission/Research Executive Agency (REA) handle delays in the project implementation in Horizon 2020 Marie-Sklodowska-Curie (MSCA) ongoing grants?

Similar to the general H2020 grants, given the COVID-19 situation, maximum flexibility will be given in H2020 MSCA grants on scheduled due dates for deliverables, milestones, reporting as well as to the implementation of the action whose performance was impacted by the confinement measures (see FAQs on delays in submitting reports or deliverables, on interim payments, on reorientation of the action). Published on: 16/04/2020 6:14 PM - FAQ ID: 13242





Will the Commission/Agency be flexible if beneficiaries need to modify the researcher recruitment and working conditions due to the COVID-19 outbreak (e.g. suspend MSCA researcher's contract or include part-time working arrangements)?

MSCA ITN, IF, COFUND: The obligations set out under Article 32 of the H2020 MSCA ITN and IF and Article 15 of MSCA COFUND grants will continue to apply in order to ensure best possible working conditions for researchers. Beneficiaries are highly encouraged to continue working on their tasks whenever possible by using teleworking (or other appropriate form of remote working), in order not to interrupt their research training activities and beneficiaries shall continue to pay researchers during their remote work (see FAQ on eligible costs).

However, if beneficiaries need to suspend the researcher's contract or modify it to allow for part-time working arrangements, this may be accepted by the Commission/Agency under the following cumulative conditions:

the researcher has explicitly agreed with the suspension/part-time working arrangements;

the researcher has explicitly agreed with any resulting temporary suspension/reduction of the salary; the beneficiary may nevertheless consider to use unspent part of the Institutional unit costs (Research, Training and Networking costs (for MSCA ITN and IF only) and/or Management (for COFUND) and indirect costs) in order to support the researcher financially during the suspension period (in accordance with Article 4(2) of the H2020 MSCA grant). The recruiting beneficiaries may also cover these expenses from dedicated national or own resources.

the researcher's contract is extended accordingly to allow him/her to finalise the work.

MSCA RISE: RISE staff are entitled to receive only a top-up allowance (for travel, accommodation and subsistence costs relating to the secondment) and only during the secondment. A suspension of the action implementation may be possible under the condition that there are no secondments running. Coordinators are therefore required to use extra caution in applying for a suspension of the action implementation in the case where the duration of a secondment needs to be extended due to the general lockdown.Part-time working arrangements are not possible for RISE.

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What happens to researcher costs in Horizon 2020 Marie-Sklodowska-Curie Actions (MSCA) when there are difficulties in implementing the MSCA action due to the COVID-19 situation?

MSCA ITN, IF, COFUND: A maximum flexibility is offered to the MSCA beneficiaries and researchers on this aspect, such as allowing teleworking, work outside their Host institution premises, etc. During this period the researcher allowances should be fully paid, even in those cases where the research work is not continuing as planned.

MSCA RISE: RISE staff is entitled to teleworking when they are already in the country of the host organisation and are prevented from accessing its premises. Teleworking from the country of the sending organisation (i.e. no mobility) is not allowed. The duration of secondment may be extended even beyond 12 months, if the concerned staff member is prevented from returning to the sending organisation.

Secondments interrupted before completing the one-month minimum duration due to the COVID-19 situation, may be eligible as full month, if the above-mentioned teleworking arrangements are put in place, and provided that the beneficiary has transferred to the seconded staff member the top-up allowance (category A). Costs incurred for secondments not implemented due to COVID-19 situation (e.g. travel and accommodation expenses), may be eligible under the conditions set out under Article 51 of H2020 MSCA grant (see also FAQ on force majeure).

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Can the beneficiary in Horizon 2020 Marie-Sklodowska-Curie Actions (MSCA) pay the MSCA researcher less during the COVID-19 period, such as not to pay a mobility allowance as the researcher cannot travel?

The beneficiary must pay to the recruited researchers at least the applicable allowances as set out in Annex 2. For MSCA ITN and IF, this means that the monthly living allowance, mobility allowance and family allowance (if applicable) must be transferred to the researcher even though the work is not ongoing as planned.

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One of the requirements under MSCA projects is the "mobility rule" (see Part 3 of the Horizon 2020 Work Programme 2018-2020). What happens if the mobility rule can no longer be fulfilled as a consequence of the COVID-19 related travel restrictions?

MSCA ITN, COFUND: In line with its efforts to support MSCA beneficiaries and researchers in this force majeure situations, REA will consider adopting a flexible approach whenever possible, based on a case-by-case analysis.

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In case a H2020 Marie-Sklodowska-Curie Global Fellowships researcher decides to return to Europe during the outbreak, what will happen with the project? If teleworking is allowed, can the MSCA researcher telework either in a third country or in Europe?

Due to those exceptional circumstances, the funded researchers can pursue their research work notably through telework from a third country or from Europe, according to their personal choice.

The 3-month flexibility for GF can exceptionally be applied to allow time spent with the beneficiary not only before the outgoing phase but also throughout it. More precisely, the experienced researchers would be allowed to spend a maximum of 3 months with the beneficiary in MS/AC (or an entity with a capital or legal link and/or a partner organisation) during his/her outgoing phase.

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Will the EU contribute to the salaries of researchers working in a Horizon 2020 action for the time they could not work due to the COVID-19 confinement measures (for actual costs grants)?

Horizon 2020 grants can only provide EU funding for the hours actually worked in the action; either in the premises of the participant or on teleworking.

However, if the employee cannot telework during the mandatory confinement period set out by national or regional authorities, that period would qualify as a special absence.

Beneficiaries applying option 2 - individual annual productive hours - to calculate the hourly rates are entitled to remove from the calculation of the annual productive hours all absences of the employee, including the unworked period of confinement. As a result, the number of annual productive hours of the person would decrease in this year and the hourly rate charged by the participant to the Horizon 2020 action would increase. By these means, the Horizon 2020 grant may contribute to the costs of unworked confinement time in proportion to the time worked by the employee in the Horizon 2020 action.

Participants usually applying option 1-1720 hours - or option 3 - standard annual productive hours - may opt to apply option 2 in this year to benefit from this possibility. If not all the employees of the participant were subject to unworked confinement periods, the participant may apply option 2 only for the types of personnel subject to those periods. It may keep its usual option (1 or 3) for the rest of its staff; for example employees who could telework.

Option 2 is not available for beneficiaries calculating monthly hourly rates. Those beneficiaries would have to change to annual hourly rates for the financial year 2020 if they want to take advantage of this possibility. In addition, we recall that beneficiaries must apply the same approach for all personnel costs declared for a financial year for all their ongoing H2020 grants.

Personnel costs must fulfil the general and specific eligibility conditions set out in Article 6(2) of the H2020 model grant agreement, including that they must be actual (i.e. borne by beneficiaries). Therefore, any amount which has been partly or totally reimbursed from other sources (e.g. short-time work scheme, social security or public schemes), must be deducted from the annual personnel costs when calculating the hourly rate.





Due to the COVID-19 outbreak, how may beneficiaries address potential delays in finalising the action tasks at the last reporting period in order for the consortium to be able to submit the final report and to be paid?

Some beneficiaries may need more time to finish the work and to prepare the final report. In such situation, two cases must be considered:

If the previous payments have NOT reached the 90% of the grant amount, the coordinator may introduce an amendment request for adjusting the reporting schedule with the introduction of an additional interim period to allow the consortium to receive up to 90% of the grant amount. The amendment should be combined with a request for extending the action duration (see FAQ about extension of project duration) to allow the consortium to have sufficient time to finalise the action tasks and declare all corresponding costs in their final report.

If the payments have already reached the 90% of the grant amount, it will not be possible to pay the 10% retention (see Article 21.3.2 H2020 MGA), therefore the request for amendment should be only for extending the action duration (see FAQ about extension of project duration).

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